

20 June 2019 [84 –19]

## **Call for submissions – Application A1169**

# Alpha-Glucosidase from *Trichoderma reesei* as a processing aid (Enzyme)

FSANZ has assessed an application made by DuPont Australia Pty Ltd to permit the use of alpha-glucosidase (α-glucosidase) from genetically modified *Trichoderma reesei* as a processing aid for use in the manufacturing of potable alcohol, lysine, organic acids, monosodium glutamate (MSG) and other biochemicals, production of isomaltooligosaccharides (IMO) and other sweeteners. FSANZ has prepared a draft food regulatory measure. Pursuant to section 31 of the *Food Standards Australia New Zealand Act 1991* (FSANZ Act), FSANZ now calls for submissions to assist consideration of the draft food regulatory measure.

For information about making a submission, visit the FSANZ website at <u>information for</u> <u>submitters</u>.

All submissions on applications and proposals will be published on our website. We will not publish material that that we accept as confidential, but will record that such information is held. In-confidence submissions may be subject to release under the provisions of the *Freedom of Information Act 1991*. Submissions will be published as soon as possible after the end of the public comment period. Where large numbers of documents are involved, FSANZ will make these available on CD, rather than on the website.

Under section 114 of the FSANZ Act, some information provided to FSANZ cannot be disclosed. More information about the disclosure of confidential commercial information is available on the FSANZ website at information for submitters.

Submissions should be made in writing; be marked clearly with the word 'Submission' and quote the correct project number and name. While FSANZ accepts submissions in hard copy to our offices, it is more convenient to receive submissions electronically through the FSANZ website via the link on <u>documents for public comment</u>. You can also email your submission directly to <u>submissions@foodstandards.gov.au</u>.

There is no need to send a hard copy of your submission if you have submitted it by email or via the FSANZ website. FSANZ endeavours to formally acknowledge receipt of submissions within 3 business days.

#### DEADLINE FOR SUBMISSIONS: 6pm (Canberra time) 30 July 2019

Submissions received after this date will not be considered unless an extension had been given before the closing date. Extensions will only be granted due to extraordinary circumstances during the submission period. Any agreed extension will be notified on the FSANZ website and will apply to all submitters.

Questions about making submissions or the application process can be sent to standards.management@foodstandards.gov.au.

Hard copy submissions may be sent to one of the following addresses:

Food Standards Australia New Zealand PO Box 5423 KINGSTON ACT 2604 AUSTRALIA Tel +61 2 6271 2222 Food Standards Australia New Zealand PO Box 10559 The Terrace WELLINGTON 6143 NEW ZEALAND Tel +64 4 978 5630

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#### Supporting document

The <u>following documents</u><sup>1</sup> which informed the assessment of this Application are available on the FSANZ website:

SD1 Risk and technical assessment report

<sup>&</sup>lt;sup>1</sup> Application A1169

## **Executive summary**

DuPont Australia Pty Ltd submitted an application to Food Standards Australia New Zealand (FSANZ) for a new microbial source of the already permitted enzyme processing aid,  $\alpha$ -glucosidase (EC 3.2.1.20). The enzyme is derived from a genetically modified (GM) strain of *Trichoderma reesei* which overexpresses the  $\alpha$ -glucosidase gene from *Aspergillus niger*.

Its proposed use is for the production of biochemicals such as monosodium glutamate (MSG) and other amino acids, organic acids (e.g. lactic acid, citric acid and succinic acid), potable alcohol, isomalto-oligosaccharides (IMO) and other sweeteners and lysine. These are not foods for sale, themselves but are used as ingredients in foods for sale.

Enzymes used to produce and manufacture food are considered processing aids and are regulated by Schedule 18 of the Australia New Zealand Food Standards Code (the Code). If approved for use, this enzyme would be listed in the table to subsection S18—9(3), which includes enzymes permitted for use for a specific technological purpose.

After undertaking a risk assessment, FSANZ concludes that there are no public health and safety concerns associated with using this new source of the  $\alpha$ -glucosidase enzyme. In the absence of any identifiable hazard, an Acceptable Daily Intake (ADI) of 'not specified' is appropriate. A dietary exposure assessment was therefore not required.

The evidence presented to support the proposed use of the enzyme provides adequate assurance that the enzyme, in the form and prescribed amounts, is technologically justified and has been demonstrated to be effective in achieving its stated purpose. The enzyme meets international purity specifications.

FSANZ proposes a draft variation to the Code to permit the enzyme  $\alpha$ -glucosidase derived from a GM strain of *T. reesei* to containing the  $\alpha$ -glucosidase gene from *A. niger* as a processing aid for use in the production of a variety of food ingredients. This is subject to the condition that the amount of enzyme used must be consistent with good manufacturing practice (GMP).

## 1 Introduction

### 1.1 The applicant

The applicant is DuPont Australia Pty Ltd – a subsidiary of E. I. du Pont de Nemours and Company, a manufacturer and marketer of specialty food ingredients, food additives and food processing aids.

### 1.2 The application

FSANZ received an application from DuPont Australia Pty Ltd seeking permission for the use of  $\alpha$ -glucosidase (EC 3.2.1.20) as a processing aid. The enzyme is produced from fermentation of a GM strain of *Trichoderma reesei*, which is modified to overexpress the  $\alpha$ -glucosidase gene from *Aspergillus niger*.

If approved, this particular  $\alpha$ -glucosidase will be used for the production of potable alcohol, organic acids (e.g. lactic acid, citric acid and succinic acid), biochemicals, such as MSG and other amino acids, IMO and other sweeteners, and lysine. These are not foods for sale, themselves but are used as ingredients in foods for sale.

 $\alpha$ -Glucosidase will be used as a processing aid at low levels and is either not present in the final food or present in insignificant quantities having no technical function in the final food.

### 1.3 The current standards

Australian and New Zealand food laws require food for sale to comply with the following requirements of the Code.

#### Permitted use

Enzymes used to process and manufacture food are considered processing aids as although they may be present in the final food, they no longer provide a technological purpose in the final food.

Paragraph 1.1.1—10(6)(c) of the Code provides that food for sale cannot contain, as an ingredient or component, a substance 'used as a processing aid' unless that substance's use is expressly permitted by the Code. Section 1.1.2—13 provides that a substance is 'used as a processing aid' if it is added to a food to perform a technological purpose during the course of processing of food; does not perform a technological purpose in the food for sale; and is a substance listed in Schedule 18 or a substance identified in section S16—2 as an additive permitted at GMP.

Standard 1.3.3 and Schedule 18 of the Code list the permitted processing aids. Enzymes of microbial origin permitted to be used as processing aids are listed in the table to subsection S18—4(5) or in the table to subsection S18—9(3) of Schedule 18. Enzymes of microbial origin listed in the table to subsection S18—4(5) are permitted for use as processing aid for all food. The table to subsection S18—9(3) lists those substances, including enzymes, that are permitted to be used as processing aids for specific technological purposes.

There are currently permissions for  $\alpha$ -glucosidase (EC 3.2.1.20) from non-GM *A. oryzae* and *A. niger* within the table to subsection S18—4(5), to be used in the manufacture of all foods. However,  $\alpha$ -glucosidase from this particular microbial source, the subject of this application, is not currently permitted.

Paragraph 1.1.1—10(6)(g) requires that the presence as an ingredient or component in a food for sale of a food produced using gene technology must be expressly permitted by the

Code. Section 1.5.2—3 of Standard 1.5.2 provides that permission in the Code for use as a processing aid also constitutes the permission required by paragraph 1.1.1—10(6)(g).

#### Identity and purity requirements

Paragraph 1.1.1—15(1)(b) of the Code requires substances used as processing aids to comply with any relevant identity and purity specifications listed in Schedule 3 of the Code.

Section S3—2 of Schedule 3 incorporates by reference the specifications listed in the Joint FAO/WHO Expert Committee on Food Additives (JECFA) Compendium of Food Additive Specifications (FAO/WHO 2016) and the United States Pharmacopeial Convention (2016) Food Chemicals Codex (10<sup>th</sup> edition). These include specifications for enzyme preparations used in food processing.

#### Labelling requirements

Paragraph 1.1.1—10(8) of the Code provides that food for sale must comply with all relevant labelling requirements imposed by the Code for that food. The labelling requirements relevant to this application are summarised in section 2.2.3 below.

#### 1.3.1 International standards

The Codex Alimentarius does not establish standards for processing aids or for enzymes. Individual countries regulate the use of enzymes differently to the Code. However, there are internationally recognised specifications for enzymes. These enzyme specifications are established by the Joint FAO/WHO Expert Committee on Food Additives (JECFA 2006) and the Food Chemicals Codex (Food Chemicals Codex 2016).

The enzyme  $\alpha$ -glucosidase has been permitted for use in the United States. The enzyme has been determined as Generally Recognized as Safe (GRAS) in the United States.

### 1.4 Reasons for accepting application

The application was accepted for assessment because:

- it complied with the procedural requirements under subsection 22(2) of the FSANZ Act
- it related to a matter that (b) warranted the variation of a food regulatory measure.

### **1.5 Procedure for assessment**

The application is being assessed under the General Procedure.

## 2 Summary of the assessment

### 2.1 Risk assessment

FSANZ concluded that there are no public health and safety concerns associated with the use of the enzyme  $\alpha$ -glucosidase from GM *T. reesei*.

*T. reesei* has a long history of safe use as the source of enzyme processing aids, including several that are already permitted in the Code. This fungus is not toxigenic or pathogenic. No extraneous coding genetic material is carried across from the donor organism or through the large number of steps leading to the final genetic modification. The modification involving the insertion of the  $\alpha$ -glucosidase gene has been shown to be stably inherited.

The enzyme  $\alpha$ -glucosidase from GM *T. reesei* shows no significant homology with any known allergens, venoms or toxins.

No evidence of genotoxicity was found in a bacterial reverse mutation assay or in a chromosomal aberration assay in human lymphocytes. In an 18-week study in rats, the No Observed Effect Level (NOEL) was 63.64 mg/kg bw/day  $\alpha$ -glucosidase (expressed as total protein), the highest dose tested. This dose corresponds to 77.2 mg TOS/kg bw/day. The Theoretical Maximal Daily Intake (TMDI) in consumers under the proposed conditions of use is 0.443 mg/kg bw/day TOS. Consequently, the Margin of Safety (MoS) between the TMDI and the NOEL in rats is 174.

In the absence of any identifiable hazard an Acceptable Daily Intake 'not specified' is appropriate, and therefore a dietary exposure assessment is not required.

Nutrient raw materials used in the bacterial fermentation process to produce  $\alpha$ -glucosidase include soy protein and glucose derived from wheat. Therefore the enzyme preparation may contain traces of wheat or soy. DuPont has estimated that the highest amount of soy protein or wheat protein in the final food would be 2-3 ppb and 5 ppb, respectively. As wheat and soy are major food allergens, risk management measures already exist to protect wheat-allergic or soy-allergic individuals. These involve the declaration of these substances on product labels (see section 2.2.3.2)

The food technological assessment concluded that  $\alpha$ -glucosidase, in the form and prescribed amounts, is technologically justified and has been demonstrated to be effective in achieving its stated purpose.  $\alpha$ -Glucosidase performs its technological purpose during production and manufacture of foods and is therefore appropriately categorised as a processing aid. The  $\alpha$ -glucosidase needs to meet international purity specifications, or those set out in the Code to be sold in Australia and New Zealand.

For further details on the risk assessment, refer to the Risk and Technical Assessment Report (SD1).

### 2.2 Risk management

The risk assessment concluded that there are no safety concerns relating to this  $\alpha$ -glucosidase as a food processing aid. It will be used for the production of potable alcohol, lysine, organic acids, biochemicals, such as MSG and other amino acids, production of IMO and other sweeteners. As processing aids require permissions in the Code, the main risk management option available to FSANZ is to approve or reject the request to amend the Code and, if approved, to impose any conditions that may be appropriate. Other risk management issues for this application are related to enzyme nomenclature and labelling, which are discussed below. The regulatory options analysed in section 2.4.1.1 take account

of the safety of the enzyme.

If permitted, this enzyme preparation will provide the food industry with an alternative source of  $\alpha$ -glucosidase, which is claimed by DuPont to provide:

- increased fermentation rates
- increased recovery yields
- reduced viscosity and foaming in fermentation
- conversion of non-fermentable sugars to fermentable sugars to aid fermentation

#### 2.2.1 Regulatory approval for enzymes

Following a safety assessment FSANZ has concluded that the  $\alpha$ -glucosidase meets its stated purpose. The risk assessment has further concluded that, in the absence of any identifiable hazard, an ADI of 'not specified' is appropriate for the enzyme. The risk assessment also concluded that the enzyme is unlikely to pose an allergenicity or toxicity concern. It did note, however, that the enzyme preparation may contain traces of wheat or soy protein which could potentially carry-over into the final food. This may represent a concern to those who are soy or wheat sensitive, however measures already exist to manage this concern (see section 2.2.3.2).

Therefore, FSANZ prepared a draft variation to permit the use of the enzyme as a processing aid for its stated purpose.

The express permission for the enzyme to be used as a processing aid will also provide the permission for the enzyme's potential presence in the food for sale as a food produced using gene technology. The enzyme is a food produced using gene technology for Code purposes as it is derived from 'an organism that has been modified using gene technology'. Paragraph 1.1.1-10(6)(g) requires that the presence as an ingredient or component in a food for sale of a food produced using gene technology must be expressly permitted by the Code. Section 1.5.2-3 of Standard 1.5.2 provides that permission for use as a processing aid also constitutes the permission required by paragraph 1.1.1-10(6)(g).

#### 2.2.2 Enzyme and source microorganism nomenclature

FSANZ noted that the International Union of Biochemistry and Molecular Biology (IUBMB), the internationally recognised authority for enzyme nomenclature, uses the accepted name " $\alpha$ -glucosidase" for the enzyme with an EC number of EC 3.2.1.20 (IUBMB 2018).  $\alpha$ -Glucosidase is already listed in the table to subsection S18—4(5) and will remain as such if approved and subsequently listed in the table to subsection in S18—9(3).

The nomenclature of the host and gene donor microorganisms was checked and confirmed as being appropriate as listed in the application (see section 3.2 of SD1). The host organism, *T. reesei* and the gene donor, *A. niger* are both commonly listed microorganisms within Schedule 18.

#### 2.2.3 Labelling requirements

Standard 1.2.4 of the Code generally requires food products to be labelled with a statement of ingredients. Paragraph 1.2.4-3(2)(d) of that Standard exempts substances used as processing aids from the requirement to be declared in the statement of ingredients.

The risk assessment concluded that the use of the enzyme poses no public health and safety concerns and that it performs its technological purpose as a processing aid. Therefore, the generic exemption from declaration of processing aids in the statement of ingredients will apply to foods containing this processing aid, noting that wheat and soy protein may be carried over into the enzyme preparation (see section 2.2.3.2).

#### 2.2.3.1 Labelling requirements for food produced using gene technology

Standard 1.5.2 outlines provisions for labelling of foods produced using gene technology. The enzyme is a food produced using gene technology for Code purposes. Section 1.5.2—4 indicates that labelling requirements apply to processing aids that are foods produced using gene technology, where novel DNA and/or novel protein from the processing aid remains present in the final food.

Section 1.5.2—4 requires certain foods for sale that consist of, or have as an ingredient, food that is GM to be labelled as 'genetically modified'. FSANZ also notes that the Code's labelling requirements – including those imposed by section 1.5.2—4 – generally apply only to foods for retail sale and to foods sold to a caterer under subsection 1.2.1—8(1) and section 1.2.1—15, respectively. The requirements for labelling as 'genetically modified' differ depending on whether the GM food is an ingredient of the food for sale or not, as follows.

If a food for retail sale or sold to a caterer contains the enzyme  $\alpha$ -glucosidase as an ingredient, that food would be required to be labelled 'genetically modified' in conjunction with the name of the processing aid, if novel DNA or novel protein from the GM strain of *T. reesei* (that is the source microorganism, not the enzyme) remains in that food.

However, FSANZ notes that  $\alpha$ -glucosidase is used to manufacture IMO, potable alcohol, MSG and organic acids that are not themselves foods for sale, but are used as ingredients in foods for retail sale or in food sold to a caterer. As such, these ingredients are not GM foods and are not subject to labelling requirements set out in section 1.5.2—4(1)).

#### 2.2.3.2 Declaration of certain substances

Section 2.1 above states that the enzyme preparation may contain traces of wheat or soy.

If wheat or soy is present, including when present as a processing aid or an ingredient or component of a processing aid, it must be declared in accordance with section 1.2.3—4 of Standard 1.2.3 (Information requirements – warning statements, advisory statements and declarations). If the food is not required to bear a label, the allergen information must be displayed in connection with the display of the food or provided to the purchaser on request (section 1.2.1—9 of Standard 1.2.1).

Certain products are exempt from the requirement to declare wheat. Subparagraph 1.2.3-4(1)(b)(i)(A) of the Code, for example, provides an exemption for alcohol distilled from wheat or whey from the requirement to declare wheat or milk. As noted above, the enzyme is intended to be used in the manufacture of potable alcohol, which in turn will be used as an ingredient for spirits and liqueurs.

Certain products are also exempt from the requirement to declare soy, but these exemptions do not apply to soy protein, which is the specific nutrient raw material used during the production of this enzyme.

#### 2.2.4 Risk management conclusion

The risk management conclusion is to permit  $\alpha$ -glucosidase derived from a GM *T. reesei,* which overexpresses the  $\alpha$ -glucosidase gene from *A. niger* for use as a food processing aid. The permission will be listed in the table to subsection S18—9(3), which includes enzymes permitted for a specific technological purpose. The technological purpose is for use in the production of food ingredients such as potable alcohol, lysine, lactic acid, biochemicals, such as MSG and other amino acids,, production of IMO syrup and other sweeteners. The level of usage is an amount consistent with GMP. Risk management measures exist to protect wheat-allergic or soy-allergic individuals from the potential presence of soy and wheat proteins in the final enzyme preparation. These involve the declaration of these substances, where appropriate.

The express permission for the enzymes' use as a processing aid in Schedule 18 will also provide the permission for the enzyme's potential presence in the food for sale as a food produced using gene technology.

### 2.3 Risk communication

#### 2.3.1 Consultation

Consultation is a key part of FSANZ's standards development process. FSANZ developed and applied a basic communication strategy to this application. All calls for submissions are notified via the Food Standards Notification Circular, media release, FSANZ's social media tools and Food Standards News.

The process by which FSANZ considers standards' development matters is open, accountable, consultative and transparent. Public submissions are called to obtain the views of interested parties on issues raised by the application and the impacts of regulatory options.

The draft variation will be considered for approval by the FSANZ Board taking into account public comments received from this call for submissions.

#### 2.3.2 World Trade Organization (WTO)

As members of the World Trade Organization (WTO), Australia and New Zealand are obliged to notify WTO members where proposed mandatory regulatory measures are inconsistent with any existing or imminent international standards and the proposed measure may have a significant effect on trade.

There are not any relevant international standards and amending the Code to permit a new microbial source of a currently permitted enzyme is unlikely to have a significant effect on international trade as Codex Alimentarius does not have regulations for enzymes used as processing aids. Therefore, a notification to the WTO under Australia's and New Zealand's obligations under the WTO Technical Barriers to Trade or Application of Sanitary and Phytosanitary Measures Agreement was not considered necessary.

### 2.4 FSANZ Act assessment requirements

When assessing this application and the subsequent development of a food regulatory measure, FSANZ has had regard to the following matters in section 29 of the FSANZ Act:

#### 2.4.1 Section 29

#### 2.4.1.1 Consideration of costs and benefits

The Office of Best Practice Regulation (OBPR) granted FSANZ a standing exemption from the requirement to develop a Regulatory Impact Statement for permitting new processing aids (OBPR correspondence dated 24 November 2010, reference 12065). This standing exemption was provided as permitting processing aids is machinery in nature as they are part of implementing a regulatory framework where the use of the new aids is voluntary once the application has been successfully approved. This standing exemption relates to the introduction of a food to the food supply that has been determined to be safe.

FSANZ, however, has given consideration to the costs and benefits that may arise from the proposed measure for the purposes of meeting FSANZ Act considerations. The FSANZ Act requires FSANZ to have regard to whether costs that would arise from the proposed measure outweigh the direct and indirect benefits to the community, government or industry that would arise from the proposed measure (paragraph 29 (2)(a)).

The purpose of this consideration is to determine if the community, government and industry as a whole is likely to benefit, on balance, from a move from the status quo (i.e. rejecting the application). This analysis considers permitting the use of  $\alpha$ -glucosidase derived from a GM strain of *T. reesei*, as a processing aid into the table to subsection S18—9(3), which includes enzymes permitted for a specific technological purpose. FSANZ is of the view that no other realistic food regulatory measures exist, however information received may result in FSANZ arriving at a different outcome.

The consideration of the costs and benefits in this section is not intended to be an exhaustive, quantitative economic analysis of the proposed measures and, in fact, most of the effects that were considered cannot easily be assigned a dollar value. Rather, the assessment seeks to highlight the likely positives and negatives of moving away from the status quo by permitting the use of the enzyme.

Costs and benefits of permitting the use of enzyme  $\alpha$ -glucosidase derived from a GM strain of T. reesei as a processing aid

#### Industry

 $\alpha$ -Glucosidase facilitates the production of potable alcohol, lysine, organic acids, MSG and other biochemicals, production of IMO and other sweeteners. This  $\alpha$ -glucosidase is claimed by the applicant to be able to increase fermentation rates, recovery yields, reduce viscosity and foaming during fermentation and convert residual DP2 sugars into fermentable sugars. Due to the voluntary nature of the permission, industry will only use the  $\alpha$ -glucosidase enzyme where they believe a net benefit exists. There are other enzymes available to industry that perform similar functions and it is of benefit to industry to have additional choice available to them, especially where the enzyme is more effective or cheaper.

The US FDA did not respond with questions to a self-determination of this  $\alpha$ -Glucosidase as GRAS in the United States. The international permissions of this enzyme may be a business opportunity for Australia New Zealand industries, although there may also be competing imports from these countries into the domestic market.

#### Consumers

Industry may pass some of the possible cost savings from using the enzyme onto

#### consumers.

#### Government

Permitting the enzyme may result in a small cost to government in terms of adding the enzyme to the current range of processing aids that are monitored for compliance.

#### Conclusions from cost benefit considerations

FSANZ's assessment is that the direct and indirect benefits that would arise from permitting the use of enzyme  $\alpha$ -glucosidase derived from a GM strain of *T. reesei* as a processing aid most likely outweigh the associated costs.

#### 2.4.1.2 Other measures

There are no other measures (whether available to FSANZ or not) that would be more costeffective than a food regulatory measure developed or varied as a result of the application.

#### 2.4.1.3 Any relevant New Zealand standards

Standards 1.1.1, 1.1.2 and 1.3.3 and Schedule 18 apply in both Australia and New Zealand and there are no other relevant New Zealand only standards.

#### 2.4.1.4 Any other relevant matters

Other relevant matters are considered below.

#### 2.4.2. Subsection 18(1)

FSANZ has also considered the three objectives in subsection 18(1) of the FSANZ Act during the assessment.

#### 2.4.2.1 Protection of public health and safety

FSANZ has undertaken a safety assessment (SD1) and concluded there are no public health and safety concerns with permitting the use of  $\alpha$ -glucosidase sourced from *T. reesei*, which overexpresses the  $\alpha$ -glucosidase gene from *A. niger*, as a processing aid in food for the proposed purpose.

## 2.4.2.2 The provision of adequate information relating to food to enable consumers to make informed choices

The labelling considerations for the processing aid are discussed in Section 2.2.3 above.

#### 2.4.2.3 The prevention of misleading or deceptive conduct

There are no issues identified with this application relevant to this objective.

#### 2.4.3 Subsection 18(2) considerations

FSANZ has also had regard to:

## • the need for standards to be based on risk analysis using the best available scientific evidence

FSANZ has used the best available scientific evidence to conduct the risk analysis, which is provided in SD1. The applicant submitted a dossier of scientific studies as part of the application. Other technical information, including scientific literature, was also used to

assess the application.

## • the promotion of consistency between domestic and international food standards

There are no Codex Alimentarius Standards for enzymes. However, the US FDA did not respond with questions to a self-determination of this enzyme as GRAS in the US. It is also in the process of being approved in Canada. It also meets international specifications for enzyme preparations, being the JECFA Compendium of Food Additive Specifications and the Food Chemicals Codex.

#### • the desirability of an efficient and internationally competitive food industry

Permission for the  $\alpha$ -glucosidase enzyme preparation provides food manufacturers with an alternative enzyme, which should add to competition in supplying enzymes to the food manufacturing industries.

#### • the promotion of fair trading in food

No issues were identified for this application relevant to this objective.

#### • any written policy guidelines formulated by the Forum on Food Regulation

The Ministerial Policy Guideline Addition to Food of Substances other than Vitamins and Minerals<sup>2</sup> includes specific order policy principles for substances added to achieve a solely technological function, such as processing aids. These specific order policy principles state that permission should be granted where:

- the purpose for adding the substance can be articulated clearly by the manufacturer as achieving a solely technological function (i.e. the 'stated purpose')
- the addition of the substance to food is safe for human consumption
- the amounts added are consistent with achieving the technological function
- the substance is added in a quantity and a form which is consistent with delivering the stated purpose
- no nutrition, health or related claims are to be made in regard to the substance.

FSANZ has determined that permitting the use of  $\alpha$ -glucosidase sourced from *T. reesei* which overexpresses the  $\alpha$ -glucosidase gene from *A. niger*, as a processing aid is consistent with the specific order principles for 'Technological Function'.

<sup>&</sup>lt;sup>2</sup> Food regulation website

## 3 Draft variation

The draft variation to the Code is at Attachment A and is intended to take effect on gazettal.

A draft explanatory statement is at Attachment B. An explanatory statement is required to accompany an instrument if it is lodged on the Federal Register of Legislation.

## 4 References

<u>Food Chemicals Codex 10<sup>th</sup> Edition</u> (2016), The United States Pharmacopeia, United States Pharmacopeial Convention, Rockville, MD. Accessed 31 October 2018

IUBMB (International Union of Biochemistry and Molecular Biology) <u>Enzyme Nomeclature for EC</u> <u>3.2.1.3</u>. Accessed 3 October 2018

JECFA (2006) <u>General specifications and considerations for enzyme preparations used in food</u> processing. Accessed 29 October 2018

## Attachments

- A. Draft variation to the Australia New Zealand Food Standards Code
- B. Draft Explanatory Statement

# Attachment A – Draft variation to the Australia New Zealand Food Standards Code



## Food Standards (Application A1169 – Alpha-glucosidase from *Trichoderma reesei* as a Processing Aid (Enzyme)) Variation

The Board of Food Standards Australia New Zealand gives notice of the making of this variation under section 92 of the *Food Standards Australia New Zealand Act 1991*. The variation commences on the date specified in clause 3 of this variation.

Dated [To be completed by Delegate]

[Insert Delegate's name and Title] Delegate of the Board of Food Standards Australia New Zealand

#### Note:

This variation will be published in the Commonwealth of Australia Gazette No. FSC XX on XX Month 20XX. This means that this date is the gazettal date for the purposes of clause 3 of the variation.

#### 1 Name

This instrument is the Food Standards (Application A1169 – Alpha-glucosidase from Trichoderma reesei as a Processing Aid (Enzyme)) Variation.

#### 2 Variation to a standard in the Australia New Zealand Food Standards Code

The Schedule varies a Standard in the Australia New Zealand Food Standards Code.

#### 3 Commencement

The variation commences on the date of gazettal.

#### Schedule

[1] Schedule 18 is varied by inserting in the table to subsection S18—9(3), in alphabetical order

α-Glucosidase (EC 3.2.1.20) sourced from *Trichoderma reesei* which overexpresses the α-glucosidase gene from *Aspergillus niger*  For use in the manufacture and/or processing of the following types of food:

GMP

- (a) potable alcohol;
- (b) lysine;
- (c) organic acids;
- (d) monosodium glutamate and other biochemicals; and
- (e) isomalto-oligosaccharides and other sweeteners.

## Attachment B – Draft Explanatory Statement

#### 1. Authority

Section 13 of the *Food Standards Australia New Zealand Act 1991* (the FSANZ Act) provides that the functions of Food Standards Australia New Zealand (the Authority) include the development of standards and variations of standards for inclusion in the Australia New Zealand Food Standards Code (the Code).

Division 1 of Part 3 of the FSANZ Act specifies that the Authority may accept applications for the development or variation of food regulatory measures, including standards. This Division also stipulates the procedure for considering an application for the development or variation of food regulatory measures.

The Authority accepted application A1169 which seeks to permit the use of an  $\alpha$ -glucosidase enzyme preparation from *T. reesei* which overexpresses the  $\alpha$ -glucosidase gene from *A. niger* as a processing aid for use in the production of various foods. The Authority considered the application in accordance with Division 1 of Part 3 and has prepared a draft variation.

#### 2. Purpose

The Authority has prepared a draft variation to the table to section S18—9(3) in Schedule 18 of the Code to permit the use of the enzyme  $\alpha$ -glucosidase (EC 3.2.1.20), sourced from a GM strain of *T. reesei*, as a processing aid in the production of: potable alcohol; lysine; organic acids, monosodium glutamate and other biochemicals; and isomalto-oligosaccharides and other sweeteners.

#### 3. Documents incorporated by reference

The variations to food regulatory measures do not incorporate any documents by reference.

Existing provisions of the Code incorporate a document by reference that will prescribe identity and purity specifications for the processing aid to be permitted by the draft variation. Section 1.1.1—15 of the Code requires substances used as processing aids to comply with any relevant identity and purity specifications listed in Schedule 3 of the Code. Section S3—2 of Schedule 3 incorporates by reference the specifications listed in the Joint FAO/WHO Expert Committee on Food Additives (JECFA) Compendium of Food Additive Specifications (FAO/WHO 2016) and the United States Pharmacopeial Convention (2016) Food Chemicals Codex (10<sup>th</sup> edition). These include specifications for enzyme preparations used in food processing.

#### 4. Consultation

In accordance with the procedure in Division 1 of Part 3 of the FSANZ Act, the Authority's consideration of application A1169 will include one round of public consultation following an assessment and the preparation of a draft Standard and associated assessment summary.

A Regulation Impact Statement was not required because the proposed variations to Schedule 18 are likely to have a minor impact on business and individuals (OBPR correspondence dated 24 November 2010 - reference 12065).

#### 5. Statement of compatibility with human rights

This instrument is exempt from the requirements for a statement of compatibility with human rights as it is a non-disallowable instrument under section 94 of the FSANZ Act.

#### 6. Variation

The variation inserts a new entry into the table to subsection S18—9(3) in Schedule 18.

The new entry would permit the use of the enzyme,  $\alpha$ -glucosidase (EC 3.2.1.20) sourced from a GM *T. reesei*, which overexpresses the  $\alpha$ -glucosidase gene from *A. niger*, as a processing aid in food for a specific technological purpose. The permission is subject to the condition that the maximum permitted level or amount that may be used must be consistent with good manufacturing practice. The technological purpose is for use in the production of: potable alcohol; lysine; organic acids; monosodium glutamate and other biochemicals; and isomalto-oligosaccharides and other sweeteners.